

SOUTHERN UNIVERSITY -
BATON ROUGE CAMPUS



COMPLIANCE AUDIT
ISSUED MARCH 2, 2005

**LEGISLATIVE AUDITOR
1600 NORTH THIRD STREET
POST OFFICE BOX 94397
BATON ROUGE, LOUISIANA 70804-9397**

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Under the provisions of state law, this report is a public document. A copy of this report has been submitted to the Governor, to the Attorney General, and to other public officials as required by state law. A copy of this report has been made available for public inspection at the Baton Rouge office of the Legislative Auditor and at the office of the parish clerk of court.

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STEVE J. THERIOT, CPA
LEGISLATIVE AUDITOR

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LEGISLATIVE AUDITOR
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March 2, 2005

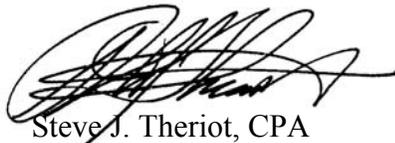
DR. LEON R. TARVER, II, PRESIDENT
SOUTHERN UNIVERSITY -
BATON ROUGE CAMPUS
Baton Rouge, Louisiana

We have audited certain transactions of Southern University - Baton Rouge Campus, Registrar's Office in accordance with Title 24 of the Louisiana Revised Statutes. Our audit was performed to determine whether certain students received grades that were not properly earned and did not pay tuition to attend the University.

Our audit consisted primarily of inquiries and the examination of selected Registrar's records and other documentation. The scope of our audit was significantly less than that required by *Government Auditing Standards*; therefore, we are not offering an opinion on Southern University's financial statements or system of internal control nor assurance as to compliance with laws and regulations.

The accompanying report presents our finding and recommendations as well as management's response. Copies of this report have been delivered to the president and board members of the Southern University System and others as required by state law.

Respectfully submitted,



Steve J. Theriot, CPA
Legislative Auditor

CGM:JLM:DGP:dl

SU05

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During March 2004, Southern University - Baton Rouge Campus conducted an internal investigation that revealed former Assistant Registrar Cleo Carroll may have been involved in creating fraudulent student transcripts. On May 7, 2004, Dr. Ralph Slaughter, Southern University's Vice President for Administration and Management, requested assistance from the legislative auditor. We conducted a limited review of certain transactions of the Registrar's Office, the results of which are included below. Management's response is included at the end of this report.

During the period March 1995 through January 2003, University records indicate that over 2,000 grade transactions were recorded in the Registrar's Office "grade change audit file" under two identification numbers assigned to Mr. Cleo Carroll, former Assistant Registrar. Of these grade transactions, University bursar's records indicate that 25 students received grades but did not pay tuition totaling \$52,490 to attend school. In addition, five students stated that they paid cash totaling \$9,100 in exchange for grades or academic transcripts that they did not properly earn.

According to University policy, instructors are responsible, within 60 days after the end of a semester, for assigning grades to each student except for students who withdraw before the final drop date. Instructors record grades in their class roll book then transfer them to University grade sheets. Completed grade sheets are then sent to the Registrar's Office to be scanned into the computer system and entered onto official academic transcripts. Grade sheets that cannot be scanned are manually entered into the system by Registrar's Office employees. These employees also enter grade changes if an instructor provides the Registrar's Office with a grade change form. Whenever grades are added and/or changed by Registrar employees, the computer system records the employee's identification number and any changes made in the "grade change audit file." This file is created by the system and once a grade transaction has been recorded in this file, it cannot be altered. However, class credits from other universities (transfer credits) are added directly to a transcript and are not reflected in the "grade change audit file."

Access to the computer system is controlled, in part, through the use of identification numbers and passwords. Before Registrar's Office employees are granted access to make changes to student records, they are required to obtain a unique identification number from the Information Systems Department. Each employee is also responsible for developing and maintaining his/her own password.

Mr. Carroll began employment with the University in January 1971 and retired in March 2003. During this period, he served as Supervisor of Records, Assistant Registrar, and Registrar. His responsibilities included maintaining and updating an efficient student record system.

Mr. Marvin Allen, former Registrar, stated that Mr. Carroll was informed about the security of his user identification number and password. According to Mr. Allen, Mr. Carroll was part of the computer security enforcement process, responsible for informing employees in the Registrar's Office about the security of their identification numbers and passwords.

Our examination of the "grade change audit file" for the period March 1995 through January 2003, revealed that Mr. Carroll's identification numbers were used to enter 267 grade transactions resulting in 25 students receiving grades and therefore credit for completing courses, though according to University records, the students did not pay the \$52,490 required to attend Southern University (see Appendix A). The absence of tuition payment, registration, and grades on instructor rolls for classes provides strong indication that the student did not actually attend and complete the courses (see Appendix B). Of these grade transactions, 92% were entered in the student's record at least one year after the semester ended:

- 40% entered in the system one to five years after the semester ended
- 47% entered in the system five to 10 years after the semester ended
- 5% entered in the system 10 or more years after the semester ended

These grades were entered without proper documentation and approval. The remaining 8% were changes made within a year after the semester ended.

In addition, five students stated they paid cash totaling \$9,100 in exchange for grades or academic transcripts that they did not properly earn. Grade changes or additions for four of these students were recorded in the "grade change audit file" under one of the two unique identification numbers assigned to Mr. Carroll. The fifth student received transfer credits that were not recorded in the "grade change audit file."

Three of the five students stated they or their parent gave cash totaling \$5,300 directly to Mr. Carroll in exchange for grades or academic transcripts. The other two students stated they gave cash totaling \$3,800 to someone else to have their grades changed. University records indicate that Mr. Carroll's identification number was used to change one of these student's grades. The other student stated, through a University employee, he gave cash to Mr. Carroll and transfer credits that he did not earn were added to his transcript.

The University empowered hearing panels of faculty, department heads, and administrators who are interviewing students and former students who may have improperly obtained grades, grade additions, grade changes, or degrees. As of December 13, 2004, these officials interviewed approximately 476 students and, for 393, recommended that no further action was needed. Of the 83 remaining students, 11 surrendered their grades before the interview; 23 students' grades were revoked by the University; and the University requested additional information, reviews, or hearings for the remaining 49 students. In addition, we noted five students that were not included as part of the University's list but were included in the list of 25 students that did not pay tuition. For these five students, the University provided records to indicate the students may have attended classes but was unable to provide records to indicate these students paid tuition.

During November 2004, representatives of the Legislative Auditor's Office contacted Mr. Johnny Wuellous, Mr. Carroll's attorney, to schedule a meeting to discuss the above issues with Mr. Carroll. Mr. Carroll and his attorney chose not to discuss the issues.

This report has been provided to the District Attorney for the Nineteenth Judicial District of Louisiana, the United States Attorney for the Middle District of Louisiana, and others as required by law. The actual determination as to whether an individual is subject to formal charge is at the discretion of the District Attorney or the United States Attorney.¹

¹ **R.S. 14:133** provides, in part, that filing false public records is the filing or depositing for record in any public office or with any public official, or the maintaining as required by law, regulation, or rule, with knowledge of its falsity, any forged document, any wrongfully altered document, or any document containing a false statement or false representation of a material fact.

R.S. 14:134 provides, in part, that malfeasance in office is committed when any public officer or public employee shall (1) intentionally refuse or fail to perform any duty lawfully required of him, as such officer or employee; (2) intentionally perform any such duty in an unlawful manner; or (3) knowingly permit any other public officer or public employee, under his authority, to intentionally refuse or fail to perform any duty lawfully required of him or to perform any such duty in an unlawful manner.

We recommend that management of the University:

- Develop and implement written policies and procedures governing the daily operation of the Registrar's Office. At a minimum, these procedures should reduce the likelihood that:
 - (1) an unauthorized University employee could breach the computer security access controls;
 - (2) a student could receive unauthorized grade(s), grade changes, or a degree; and
 - (3) a student could receive credit for attending courses for which the student did not pay.
- Provide employees in the Registrar's Office with a handbook of written policies and procedures and require them to annually certify that they have reviewed the handbook and University guidelines.
- Enforce its current policy of requiring grade changes to be performed within 60 days after the semester has ended.
- Assign an employee in the Registrar's Office the duty of reviewing all requested changes to student academic records before the record is updated in the system and also require a daily review of the "grade change audit file." This individual should not have the ability to update academic records.
- Require the Registrar's Office to confirm with the Bursar's Office that a student has paid tuition whenever there is a necessity to enter grades in the system for a past semester.
- Store all official transcript paper in a secure location and require written requests from all employees, including the Registrar and Assistant Registrar, to obtain the official paper.
- Reconcile class rolls and student grade reports before updating academic records. In addition, the grades and courses indicated on the grade reports should be compared to the students' transcripts to ensure consistency.
- Review student transcripts before 1995 to determine whether the grades entered in the new system correspond with the transcripts.
- Review campus-wide computer access records to determine if current and/or new University employees are provided with the appropriate level of computer access. The University should ensure that:

- (1) specific access rights to certain records are determined by the employee's supervisor, department head, and University policy;
 - (2) employees granted access are assigned a unique identification number and are required to sign a security agreement, which should be maintained by the IS Department and the department granting the access;
 - (3) passwords and/or identification numbers are not shared by employees; and
 - (4) user passwords expire periodically forcing the user to choose a different password.
- Finally, management should correct past irregularities like those addressed in this report. Specifically, management should correct transcripts, notify the students of the corrections, and retract degrees if necessary. Management should also review files in the Registrar's Office to identify any additional abuses of student records that may have occurred.

Southern University is under the management and supervision of the Southern University Board of Supervisors created by the Louisiana State Constitution of 1974. The Board is responsible for the management and supervision of the institutions of higher education, statewide agricultural programs, and other programs that comprise the Southern University System. The powers of the Board of Supervisors are subject to those vested by the Constitution to the Louisiana State Board of Regents.

On May 7, 2004, Dr. Ralph Slaughter, Southern University's Vice President for Administration and Management, requested assistance from the legislative auditor with an investigation into unauthorized grade changes in the University's Registrar's Office. This examination was performed to determine the propriety of this allegation.

The procedures performed during this examination consisted of the following:

- (1) interviewing employees and officials of the University;
- (2) interviewing other persons as appropriate;
- (3) examining selected documents and records of the University;
- (4) performing observations; and
- (5) reviewing applicable state laws and regulations.

We acknowledge the assistance provided in completing this examination by Dr. Ralph Slaughter, the University and system internal auditors, the acting Registrar, and other University personnel.



INCORPORATED 1880 RELOCATED 1914
SOUTHERN
UNIVERSITY
And

Agricultural & Mechanical College

Office of the
Vice Chancellor for Enrollment Management
P. O. Box 9427
Baton Rouge, Louisiana 70813

Office: (225) 771-5766
Fax: (225) 771-2845

January 26, 2005

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OFFICE OF THE CHANCELLOR

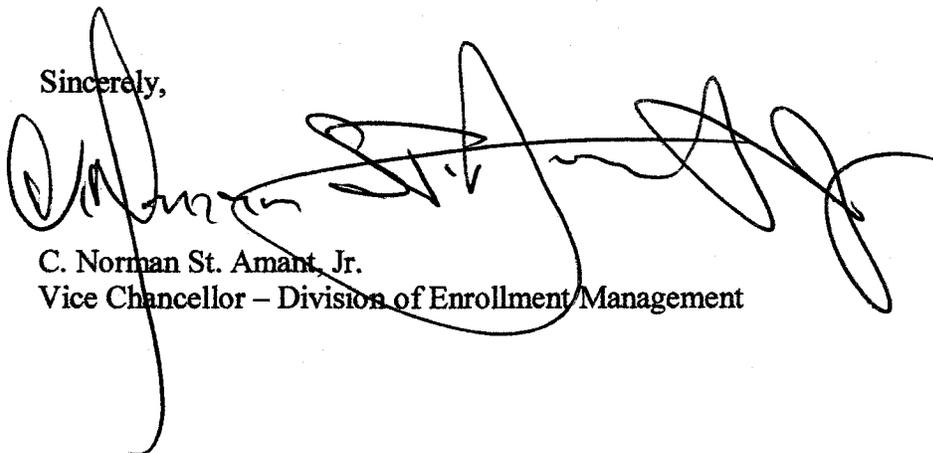
Dr. Edward R. Jackson
Chancellor
Southern University – Baton Rouge
CAMPUS

Dear Dr. Jackson:

Please find attached the response to the compliance audit report on Southern University –
Registrar's Office.

If you require additional information, please advise.

Sincerely,



C. Norman St. Amant, Jr.
Vice Chancellor – Division of Enrollment Management



INCORPORATED 1880 RELOCATED 1914
SOUTHERN
UNIVERSITY

And
Agricultural & Mechanical College

Office of the Chancellor
P. O. Box 9374
[225] 771-5020
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RECEIVED
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PRESIDENT
05 JAN 27 AM 10:05
SOUTHERN UNIVERSITY
SYSTEM

January 27, 2005

Dr. Leon R. Tarver II
President
Southern University System
Baton Rouge, LA. 70813

Dear Dr. Tarver:

We are transmitting SUBR's response to the compliance audit report on *Southern University - Registrar's Office* for your review and transmittal to the Office of the Legislative Auditor.

Please let me know if you require additional information.

Sincerely,

Edward R. Jackson
Chancellor

ERJ/bm

Enclosure



SOUTHERN UNIVERSITY AND A&M COLLEGE SYSTEM

BATON ROUGE, LOUISIANA 70813
(225) 771-2011

Baton Rouge, New Orleans,
Shreveport/Bossier City
LOUISIANA

Office of the President
(225) 771-4680

February 1, 2005

Fax Number:
(225) 771-5522

**Mr. Steve J. Theriot
Legislative Auditor
State of Louisiana
P.O. Box 94397
Baton Rouge, LA 70804-9397**

Dear Mr. Theriot:

Enclosed is Southern University at Baton Rouge's response to the compliance audit report on Southern University-Registrar's Office. Also enclosed is a copy of the Policies and Procedures Operational Manual for the Office of the Registrar at SUBR.

Please let us know if you need additional information.

Sincerely,

A handwritten signature in black ink, appearing to read "Leon R. Tarver II".

Leon R. Tarver II, Ph.D.

President

Southern University System

LRT/bjr

Enclosures

RECOMMENDATION:

Develop and implement written policies and procedures governing the daily operation of the Registrar's Office. At a minimum, these procedures should reduce the likelihood that:

- (1) an unauthorized University employee could breach the computer security access controls;
- (2) a student could receive unauthorized grade(s), grade changes, or a degree; and
- (3) a student could receive credit for attending courses for which the student did not pay.

RESPONSE:

The attached Office of the Registrar – Policies and Procedures Operational Manual was revised November 2004.

RECOMMENDATION:

Provide employees in the Registrar's Office with a handbook of written policies and procedures and require them to annually certify that they have reviewed the handbook and University guidelines.

RESPONSE:

All employees in the Office of the Registrar have received a copy of the revised policies and procedures operational manual for review. Employees will be required to sign a statement at the February monthly staff meeting certifying that they have reviewed and understand the policies and procedures and will perform the daily operations of the office in accordance with the manual. This statement also addresses what actions may be taken, if policies and procedures are violated.

RECOMMENDATION:

Enforce its current policy of requiring grade changes to be performed within 60 days after the semester has ended.

RESPONSE:

The Office of the Registrar only accepts requests for processing Change of Grades that is within the sixty day period allowed by the University's policy. All others are returned to the respective instructor to obtain the approval of the Vice Chancellor for Academic Affairs before processing by the Office of the Registrar.

RECOMMENDATION:

Assign an employee in the Registrar's Office the duty of reviewing all requested changes to student academic records before the record is updated in the system. In addition, require a daily review of the "grade change audit file". These individuals should not have the ability to update academic records.

RESPONSE:

The processing instructions for Legacy Transcript Conversion on page 60 of the manual include the afore-mentioned steps. Additionally, the "input" of grades from the legacy conversion is captured on the weekly grade audit review. The grade change audit file is reviewed weekly by the Registrar. The Registrar's access to SIS-PLUS does not include update to the change of grade fields.

RECOMMENDATION:

Require the Registrar's Office to confirm with the Bursar's Office that a student has paid tuition whenever there is a necessity to enter grades in the system for a past semester.

RESPONSE:

The Office of the Registrar has added the afore-mentioned step into its procedures for adding courses and/or posting grades for past semesters.

RECOMMENDATION:

Store all official transcript paper in a secure location and require written requests from all employees, including the Registrar and Assistant Registrar, to obtain the official paper.

RESPONSE:

The Office of the Registrar stores transcript paper in the office vault, which is locked; and access must be authorized by the Registrar and/or designee. Currently, the Interim Registrar and Vice Chancellor for Enrollment Management are the ONLY individuals with the combination. All entries into the vault are logged and monitored by a closed-circuit TV security camera. Effective February 1, 2005 written requests will be required to obtain transcript (SCRIP-SAFE) paper from the vault.

RECOMMENDATION:

Reconcile class rolls and student grade reports prior to updating academic records. In addition, the grades and courses indicated on the grade reports should be compared to the students' transcripts to ensure consistency.

RESPONSE:

The Office of the Registrar has revised its' procedures for adding courses and/or posting grades from previous semesters to include the afore-mentioned steps.

RECOMMENDATION:

Review student transcripts prior to 1995 to determine whether the grades entered in the new system correspond with the transcript.

RESPONSE:

The Office of the Registrar has developed an action plan for the Vice Chancellor for Enrollment Management to approve and request funding for the unit to perform this task.

RECOMMENDATION:

Review campus wide computer access records to determine if current and/or new University employees are provided with the appropriate level of computer access. The University should ensure that:

- (1) specific access rights to certain records are determined by the employee's supervisor, department head, and by University policy;
- (2) employees granted access are assigned a unique identification number and are required to sign a security agreement, which should be maintained by the IS Department and the department granting the access;
- (3) passwords and/or identification numbers are not shared by employees; and
- (4) user passwords expire periodically forcing the user to choose a different password.

RESPONSE:

The Registrar conducts a monthly audit of all employees with access to the SIS-PLUS Student Records System to ensure the appropriate level of access is granted. Additionally, all new employees requesting access are required to sign Confidentiality - Security Agreements before access is granted. (Attached) The Assistant Director of the Information System Division has been contacted to research the possibility of having a security statement appear on the login screen each time a user login.

RECOMMENDATION:

Finally, management should correct past irregularities like those addressed in this report. Specifically, management should correct transcripts, notify the students of the corrections, and retract degrees if necessary. Management should also review files in the Registrar's Office to identify any additional abuse of student records that may have occurred.

RESPONSE:

In all cases where grade changes cannot be validated by an initial review panel, the panel recommends forwarding them for a full due process hearing. The hearing committee recommends revocation of any and all grades found to have insufficient documentation. The students are notified that the grades in question will be revoked. All revocation recommendations will be forwarded to the Chancellor to take appropriate action to revoke the specified grades and correct the student's transcript accordingly. If the grade revocations result in a student not having enough credits to sustain any degree based on those grades, the degree also will be revoked, and the student will be notified. Corrected transcripts will be sent to all places on file as having received the uncorrected transcript. Where other potential abuses are identified, the files will be forwarded for appropriate disciplinary action.

GRADE CHANGES

A = Operator ID Numbers assigned to Cleo Carroll - used to enter transactions/grades into the "Grade Change Audit File"

B = Number of transactions/grades entered into the "Grade Change Audit File"

C = Year and semester of course credit to student transcript

D = Grade credited to student transcript

E = Date course and grade was entered on student transcript

F = Time course and grade was entered on student transcript

Ninety-two percent of the following transactions/grades were entered in the student's record one or more years after the semester ended.

one to five years after semester ended - 108 transactions/grades entered in the system or 40%

five to 10 years after semester ended - 126 transactions/grades entered in the system or 47%

10 or more years after semester ended - 12 transactions/grades entered in the system or 5%

Student	A Operator	B No. of Transactions	C Year/Semester	D Grade Entered	E Transaction Date	F Transaction Time	Tuition Cost Per Semester
1	1009	1	2000 Fall	C	2/2/2001	10:19AM	\$409.00
	1009	2	2000 Fall	A	2/2/2001	10:20AM	
2	1009	1	1992 Summer	A	11/30/2001	10:52AM	\$77.00
	1009	2	1992 Fall	B	11/30/2001	10:52AM	\$99.00
	1009	3	1993 Spring	B	11/30/2001	10:52AM	\$99.00
	1009	4	1993 Summer	A	11/30/2001	10:55AM	\$258.00
3	1009	1	1989 Fall	B	8/8/2001	05:14PM	\$744.00
	1009	2	1989 Fall	C	8/8/2001	05:14PM	
	1009	3	1989 Fall	B	8/8/2001	05:15PM	
	1009	4	1989 Fall	B	8/8/2001	05:15PM	
	1009	5	1989 Fall	B	8/8/2001	05:15PM	
	1009	6	1990 Spring	B	8/17/2001	05:17PM	\$744.00
	1009	7	1990 Spring	B	8/17/2001	05:17PM	
	1009	8	1990 Spring	C	8/17/2001	05:18PM	
	1009	9	1990 Spring	B	8/17/2001	05:19PM	
	1009	10	1990 Spring	B	8/17/2001	05:19PM	
4	1024	1	1988 Spring	B	2/8/1996	04:42PM	\$373.00
	1024	2	1988 Spring	A	2/8/1996	04:42PM	
	1024	3	1988 Spring	B	2/8/1996	04:42PM	
	1024	4	1988 Summer	B	2/8/1996	04:43PM	\$238.00
	1024	5	1988 Fall	B	2/8/1996	04:43PM	\$546.00
	1024	6	1988 Fall	B	2/8/1996	04:43PM	
	1024	7	1988 Fall	B	2/8/1996	04:43PM	
	1024	8	1989 Spring	A	2/8/1996	04:44PM	\$439.00
	1024	9	1989 Spring	C	2/8/1996	04:44PM	
5	1009	1	2000 Fall	B	2/2/2001	10:12AM	\$409.00
	1009	2	2000 Fall	B	2/2/2001	10:12AM	
6	1009	1	1992 Fall	B	1/30/2002	04:52PM	\$299.00
	1009	2	1992 Fall	A	1/30/2002	04:52PM	

(Continued)

SOUTHERN UNIVERSITY - BATON ROUGE CAMPUS

Student	A Operator	B No. of Transactions	C Year/Semester	D Grade Entered	E Transaction Date	F Transaction Time	Tuition Cost Per Semester
7	1024	1	1990 Summer	B	2/9/1996	05:26PM	\$374.00
	1024	2	1990 Summer	B	2/9/1996	05:26PM	
	1024	3	1990 Summer	B	8/23/1996	04:21PM	
8*	1024	1	1991 Fall	C	8/28/1997	09:36AM	\$790.00
	1024	2	1991 Fall	B	8/28/1997	09:36AM	
	1024	3	1991 Fall	B	8/28/1997	09:37AM	
	1024	4	1991 Fall	B	8/28/1997	09:37AM	
	1024	5	1992 Spring	B	8/28/1997	09:38AM	\$565.00
	1024	6	1992 Spring	B	8/28/1997	09:38AM	
	1024	7	1992 Spring	C	8/28/1997	09:38AM	
9	1009	1	2000 Fall	A	2/2/2001	10:17AM	\$409.00
	1009	2	2000 Fall	A	2/2/2001	10:17AM	
10	1024	1	1991 Fall	A	3/28/1995	10:22AM	\$299.00
	1024	2	1991 Fall	A	3/28/1995	10:22AM	
	1024	3	1992 Summer	A	3/28/1995	10:27AM	\$402.00
	1024	4	1992 Summer	A	3/28/1995	10:28AM	
	1024	5	1992 Summer	A	3/28/1995	10:28AM	
	1024	6	1992 Fall	A	3/28/1995	10:29AM	\$202.00
	1024	7	1993 Spring	A	3/28/1995	10:30AM	\$299.00
	1024	8	1993 Spring	A	3/28/1995	10:31AM	
	1024	9	1993 Fall	A	3/28/1995	10:35AM	\$379.00
	1024	10	1993 Fall	A	3/28/1995	10:35AM	
	1009	11	1999 Spring	B	5/16/2002	04:08PM	\$1,098.00
	1009	12	1999 Spring	B	5/16/2002	04:08PM	
	1009	13	1999 Spring	B	5/16/2002	04:08PM	
	1009	14	1999 Summer	B	5/16/2002	04:08PM	\$784.00
	1009	15	1999 Summer	C	5/16/2002	04:09PM	
	1009	16	1999 Summer	A	5/16/2002	04:09PM	
	1009	17	1999 Summer	C	5/16/2002	04:09PM	
	1009	18	2000 Summer	B	5/16/2002	04:10PM	\$577.00
	1009	19	2000 Summer	C	5/16/2002	04:10PM	
11	1009	1	1993 Summer	B	3/21/2002	06:44PM	\$409.00
	1009	2	1993 Summer	B	3/21/2002	06:44PM	
	1009	3	1993 Summer	B	3/21/2002	06:44PM	
	1009	4	1993 Fall	C	3/21/2002	06:45PM	\$1,014.00
	1009	5	1993 Fall	C	3/21/2002	06:45PM	
	1009	6	1993 Fall	A	3/21/2002	06:45PM	
	1009	7	1993 Fall	B	3/21/2002	06:45PM	
	1009	8	1993 Fall	B	3/21/2002	06:46PM	
	1009	9	1994 Spring	C	3/21/2002	06:46PM	\$1,014.00
	1009	10	1994 Spring	B	3/21/2002	06:46PM	
	1009	11	1994 Spring	B	3/21/2002	06:46PM	
	1009	12	1994 Spring	A	3/21/2002	06:46PM	
	1009	13	1994 Spring	B	3/21/2002	06:46PM	
	1009	14	1994 Spring	C	3/21/2002	06:46PM	
	1009	15	1994 Summer	B	3/21/2002	06:46PM	\$509.00
	1009	16	1994 Summer	C	3/21/2002	06:47PM	
	1009	17	1994 Summer	B	3/21/2002	06:47PM	

(Continued)

GRADE CHANGES

Student	A Operator	B No. of Transactions	C Year/Semester	D Grade Entered	E Transaction Date	F Transaction Time	Tuition Cost Per Semester
	1009	18	1994 Fall	B	3/21/2002	06:47PM	\$1,014.00
	1009	19	1994 Fall	B	3/21/2002	06:47PM	
	1009	20	1994 Fall	B	3/21/2002	06:47PM	
	1009	21	1994 Fall	C	3/21/2002	06:47PM	
	1009	22	1994 Fall	B	3/21/2002	06:47PM	
	1009	23	1994 Fall	A	3/21/2002	06:47PM	
	1009	24	1995 Spring	C	3/21/2002	06:48PM	\$1,014.00
	1009	25	1995 Spring	B	3/21/2002	06:48PM	
	1009	26	1995 Spring	A	3/21/2002	06:48PM	
	1009	27	1995 Spring	A	3/21/2002	06:48PM	
	1009	28	1995 Spring	B	3/21/2002	06:48PM	
	1009	29	1995 Summer	A	3/21/2002	06:48PM	\$509.00
	1009	30	1995 Summer	B	3/21/2002	06:49PM	
	1009	31	1995 Summer	C	3/21/2002	06:49PM	
	1009	32	1995 Summer	B	3/21/2002	06:49PM	
	1009	33	1995 Fall	B	3/21/2002	06:49PM	\$1,014.00
	1009	34	1995 Fall	C	3/21/2002	06:49PM	
	1009	35	1995 Fall	B	3/21/2002	06:49PM	
	1009	36	1995 Fall	A	3/21/2002	06:49PM	
	1009	37	1996 Spring	B	3/21/2002	06:49PM	\$1,014.00
	1009	38	1996 Spring	C	3/21/2002	06:50PM	
	1009	39	1996 Spring	B	3/21/2002	06:50PM	
	1009	40	1996 Spring	B	3/21/2002	06:50PM	
	1009	41	1996 Spring	P	3/21/2002	06:58PM	
	1009	42	1996 Spring	P	3/21/2002	06:59PM	
12	1009	1	1998 Spring	A	3/15/2002	02:57PM	\$764.00
	1009	2	1998 Spring	B	3/15/2002	02:57PM	
	1009	3	1998 Fall	B	3/15/2002	02:57PM	\$612.00
13	1009	1	1993 Summer	A	12/9/1999	05:17PM	\$409.00
	1009	2	1993 Summer	B	12/9/1999	05:17PM	
	1009	3	1993 Summer	B	12/9/1999	05:18PM	
	1009	4	1993 Fall	C	12/9/1999	05:18PM	\$1,014.00
	1009	5	1993 Fall	C	12/9/1999	07:41PM	
	1009	6	1993 Fall	A	12/9/1999	07:41PM	
	1009	7	1993 Fall	B	12/9/1999	07:42PM	
	1009	8	1993 Fall	C	12/9/1999	07:43PM	
	1024	9	1994 Spring	B	7/25/1997	05:21PM	\$1,014.00
	1024	10	1994 Spring	A	7/25/1997	05:21PM	
	1024	11	1994 Spring	C	7/25/1997	05:21PM	
	1024	12	1994 Spring	B	7/25/1997	05:21PM	
	1024	13	1994 Spring	B	7/25/1997	05:21PM	
	1024	14	1994 Spring	B	7/25/1997	05:22PM	
	1024	15	1994 Spring	B	7/29/1997	01:14PM	
	1024	16	1994 Spring	C	7/29/1997	01:14PM	
	1009	17	1994 Summer	A	12/9/1999	07:43PM	\$509.00
	1009	18	1994 Summer	A	12/9/1999	07:43PM	
	1009	19	1994 Summer	A	12/9/1999	07:44PM	
	1009	20	1994 Fall	B	12/9/1999	07:44PM	\$1,014.00

(Continued)

SOUTHERN UNIVERSITY - BATON ROUGE CAMPUS

Student	A Operator	B No. of Transactions	C Year/Semester	D Grade Entered	E Transaction Date	F Transaction Time	Tuition Cost Per Semester
	1009	21	1994 Fall	B	12/9/1999	07:44PM	
	1009	22	1994 Fall	B	12/9/1999	07:44PM	
	1009	23	1994 Fall	A	12/9/1999	07:45PM	
	1009	24	1994 Fall	B	12/9/1999	07:45PM	
	1009	25	1994 Fall	A	12/9/1999	07:45PM	
	1009	26	1995 Spring	C	12/9/1999	07:46PM	\$1,014.00
	1009	27	1995 Spring	C	12/9/1999	07:46PM	
	1009	28	1995 Spring	A	12/9/1999	07:46PM	
	1009	29	1995 Spring	A	12/9/1999	07:47PM	
	1009	30	1995 Spring	A	12/9/1999	07:47PM	
	1009	31	1995 Spring	A	12/9/1999	07:47PM	
	1009	32	1995 Summer	A	12/9/1999	07:48PM	\$509.00
	1009	33	1995 Summer	A	12/9/1999	07:48PM	
	1009	34	1995 Summer	B	12/9/1999	07:48PM	
	1009	35	1996 Spring	W	12/9/1999	07:49PM	\$1,014.00
	1009	36	1996 Spring	A	12/9/1999	07:49PM	
	1009	37	1996 Spring	B	12/9/1999	07:49PM	
	1009	38	1996 Spring	A	12/9/1999	07:50PM	
	1009	39	1996 Spring	B	12/9/1999	07:50PM	
	1009	40	1996 Summer	A	12/9/1999	07:50PM	\$413.00
	1009	41	1996 Summer	W	12/9/1999	07:51PM	
	1009	42	1996 Fall	A	12/9/1999	07:51PM	\$1,014.00
	1009	43	1996 Fall	P	12/9/1999	07:51PM	
	1009	44	1996 Fall	P	12/9/1999	07:51PM	
	1009	45	1996 Fall	A	12/9/1999	07:52PM	
	1009	46	1996 Fall	A	12/9/1999	07:52PM	
	1009	47	1996 Fall	B	12/9/1999	07:52PM	
	1009	48	1997 Spring	A	12/9/1999	07:52PM	\$1,014.00
	1009	49	1997 Spring	B	12/9/1999	07:53PM	
	1009	50	1997 Spring	A	12/9/1999	07:53PM	
	1009	51	1997 Spring	B	12/9/1999	07:53PM	
14	1024	1	1990 Fall	B	9/26/1997	03:37PM	\$553.00
	1024	2	1990 Fall	B	9/26/1997	03:38PM	
	1024	3	1990 Fall	B	9/26/1997	04:25PM	
	1024	4	1991 Spring	B	9/26/1997	03:41PM	\$202.00
	1024	5	1991 Summer	B	9/26/1997	03:43PM	\$401.00
	1024	6	1991 Summer	A	9/26/1997	03:44PM	
	1024	7	1991 Fall	A	9/26/1997	03:46PM	\$299.00
	1024	8	1991 Fall	A	9/26/1997	03:46PM	
	1024	9	1992 Spring	B	9/26/1997	03:48PM	\$299.00
	1024	10	1992 Spring	B	9/26/1997	03:49PM	
	1024	11	1992 Summer	A	9/26/1997	03:51PM	\$258.00
	1024	12	1992 Fall	A	9/26/1997	03:53PM	\$299.00
	1024	13	1992 Fall	B	9/26/1997	03:55PM	
	1024	14	1997 Summer	B	9/26/1997	05:12PM	\$514.00
	1024	15	1997 Summer	B	9/26/1997	05:12PM	
15	1009	1	1994 Summer	C	5/6/2000	02:44PM	\$509.00
	1009	2	1994 Summer	A	5/6/2000	02:44PM	
	1009	3	1994 Summer	B	5/6/2000	02:45PM	
	1009	4	1996 Fall	B	5/6/2000	02:43PM	\$1,014.00
	1009	5	1996 Fall	C	5/6/2000	02:44PM	
	1009	6	1996 Fall	B	5/6/2000	02:44PM	
	1009	7	1996 Fall	B	5/6/2000	02:44PM	

(Continued)

GRADE CHANGES

Student	A Operator	B No. of Transactions	C Year/Semester	D Grade Entered	E Transaction Date	F Transaction Time	Tuition Cost Per Semester
16	1009	1	1994 Summer	B	9/13/2002	05:04PM	\$514.00
	1009	2	1994 Summer	B	9/13/2002	05:04PM	
	1009	3	1994 Fall	A	9/13/2002	05:10PM	\$1,023.00
	1009	4	1994 Fall	B	9/13/2002	05:11PM	
	1009	5	1994 Fall	B	9/13/2002	05:11PM	\$1,023.00
	1009	6	1994 Fall	B	9/13/2002	05:11PM	
	1009	7	1994 Fall	B	9/13/2002	05:11PM	\$1,023.00
	1009	8	1994 Fall	A	9/13/2002	05:12PM	
	1009	9	1994 Fall	A	9/13/2002	05:12PM	\$1,023.00
	1009	10	1995 Spring	B	9/13/2002	05:16PM	
	1009	11	1995 Spring	A	9/13/2002	05:16PM	\$1,023.00
	1009	12	1995 Spring	B	9/13/2002	05:17PM	
	1009	13	1995 Spring	B	9/13/2002	05:17PM	\$1,023.00
	1009	14	1995 Spring	B	9/13/2002	05:17PM	
17*	1009	1	1996 Fall	B	11/10/2001	01:01PM	\$1,014.00
	1009	2	1996 Fall	B	11/10/2001	01:02PM	
	1009	3	1996 Fall	B	11/10/2001	01:02PM	\$764.00
	1009	4	1996 Fall	B	11/10/2001	01:02PM	
	1009	5	1998 Spring	B	11/10/2001	01:08PM	\$764.00
	1009	6	1998 Spring	B	11/10/2001	01:08PM	
	1009	7	1998 Spring	B	11/10/2001	01:08PM	\$764.00
1009	8	1998 Spring	B	11/10/2001	01:08PM		
18	1024	1	1995 Spring	B	10/17/1997	05:38PM	\$252.00
	1024	2	1995 Fall	B	10/17/1997	05:38PM	\$379.00
	1024	3	1995 Fall	B	10/17/1997	05:38PM	\$514.00
	1024	4	1996 Summer	B	10/17/1997	05:39PM	
	1024	5	1996 Summer	B	10/17/1997	05:39PM	\$379.00
	1024	6	1996 Fall	B	10/17/1997	05:37PM	
	1024	7	1996 Fall	B	10/17/1997	05:37PM	\$379.00
1024	8	1996 Fall	B	10/17/1997	05:37PM		
19	1009	1	2000 Fall	A	2/2/2001	10:33AM	\$409.00
	1009	2	2000 Fall	A	2/21/2001	02:29PM	\$409.00
20*	1009	1	1999 Summer	A	10/8/2001	10:27AM	\$574.00
	1009	2	1999 Summer	B	10/8/2001	10:27AM	
	1009	3	1999 Summer	B	10/8/2001	10:28AM	\$1,137.00
	1009	4	1999 Fall	A	10/8/2001	10:28AM	
	1009	5	1999 Fall	B	10/8/2001	10:28AM	\$592.00
	1009	6	1999 Fall	A	10/8/2001	10:28AM	
	1009	7	2000 Summer	B	10/8/2001	10:29AM	\$592.00
	1009	8	2000 Summer	P	10/8/2001	10:30AM	
	1009	9	2000 Summer	A	10/8/2001	10:30AM	\$1,137.00
	1009	10	2000 Fall	A	10/8/2001	10:30AM	
	1009	11	2000 Fall	A	10/8/2001	10:30AM	\$1,137.00
	1009	12	2000 Fall	P	10/8/2001	10:31AM	
	1009	13	2000 Fall	B	10/8/2001	10:31AM	\$1,137.00
1009	14	2000 Fall	B	10/8/2001	10:31AM		
21	1009	1	1999 Summer	B	4/15/2002	05:34PM	\$574.00
	1009	2	1999 Summer	A	4/15/2002	05:35PM	
	1009	3	1999 Summer	A	4/15/2002	05:35PM	\$1,137.00
	1009	4	1999 Fall	B	4/15/2002	05:33PM	
	1009	5	1999 Fall	A	4/15/2002	05:33PM	\$802.00
	1009	6	1999 Fall	B	4/15/2002	05:34PM	
	1009	7	2000 Summer	B	7/19/2002	09:37AM	\$802.00
	1009	8	2000 Summer	B	5/1/2002	05:52PM	
	1009	9	2000 Summer	B	5/1/2002	05:53PM	\$802.00
	1009	10	2000 Summer	C	5/1/2002	05:53PM	

(Continued)

SOUTHERN UNIVERSITY - BATON ROUGE CAMPUS

Student	A Operator	B No. of Transactions	C Year/Semester	D Grade Entered	E Transaction Date	F Transaction Time	Tuition Cost Per Semester
	1009	11	2000 Fall	C	5/16/2002	10:17AM	\$1,137.00
	1009	12	2000 Fall	B	5/1/2002	05:56PM	
	1009	13	2000 Fall	A	5/1/2002	05:56PM	
	1009	14	2001 Summer	C	7/18/2002	05:32PM	\$592.00
	1009	15	2001 Summer	B	7/18/2002	05:32PM	
	1009	16	2001 Summer	B	7/18/2002	05:32PM	
	1009	17	2001 Fall	B	7/18/2002	05:33PM	\$332.00
22*	1009	1	1995 Summer	B	5/6/2000	03:00PM	\$509.00
	1009	2	1995 Summer	C	5/6/2000	03:00PM	
	1009	3	1996 Summer	B	5/6/2000	03:01PM	\$509.00
	1009	4	1996 Summer	C	5/6/2000	03:01PM	
	1009	5	1996 Summer	B	5/6/2000	03:01PM	
	1009	6	1997 Spring	B	5/6/2000	03:02PM	\$0.00
	1009	7	1997 Spring	B	5/6/2000	03:02PM	
	1009	8	1997 Summer	B	5/6/2000	03:02PM	\$509.00
	1009	9	1997 Summer	C	5/6/2000	03:03PM	
	1009	10	1997 Summer	B	5/6/2000	03:03PM	
23	1009	1	2000 Spring	A	7/11/2000	12:29PM	\$409.00
	1009	2	2000 Spring	B	7/11/2000	12:30PM	
24	1024	1	1989 Fall	A	10/17/1997	05:09PM	\$279.00
	1024	2	1989 Fall	B	10/17/1997	05:10PM	
	1009	3	1990 Spring	B	2/7/2001	09:29AM	\$299.00
	1009	4	1990 Spring	B	2/7/2001	09:29AM	
	1024	5	1991 Summer	B	10/17/1997	05:47PM	\$401.00
	1024	6	1991 Summer	B	10/17/1997	05:47PM	
	1024	7	1991 Summer	B	10/17/1997	05:47PM	
	1024	8	1992 Summer	A	10/17/1997	05:47PM	\$402.00
	1024	9	1992 Summer	B	10/17/1997	05:48PM	
	1024	10	1992 Summer	B	10/17/1997	05:48PM	
	1024	11	1993 Summer	A	10/17/1997	05:48PM	\$258.00
	1024	12	1993 Fall	A	10/17/1997	05:06PM	\$379.00
	1024	13	1993 Fall	B	10/17/1997	05:07PM	
25	1009	1	1997 Summer	B	12/12/2002	04:57PM	\$337.00
	1009	2	1997 Fall	B	12/12/2002	04:58PM	\$252.00
	1009	3	2001 Summer	A	12/12/2002	04:46PM	\$577.00
	1009	4	2001 Summer	B	12/12/2002	04:46PM	
Total Transactions		267			Total Tuition Cost		\$52,490.00

* Student stated that he/she paid cash for grades.

Note: One student purportedly paid cash for transfer credits that are not recorded in the “grade change audit file.”

(Concluded)

The following 25 students received grades and credit for completing courses though University billing records indicate the students did not pay the tuition required to attend the courses.

- Student #1 - Student's transcript indicates the student received passing grades for attending two graduate level courses during the fall 2000 semester. However, the student was not listed on the teacher class rolls as a participant in either course. University records indicate that Mr. Carroll's ID was used to request a transcript for this student in February 2001. Records also indicate that during February 2001, Mr. Carroll's ID was used to enroll this student in the fall 2000 semester and to record the two courses, with corresponding grades, in the system. The student was employed as a full-time teacher in the Caddo Parish School System in Shreveport, Louisiana, during the fall of 2000. The school system's leave records indicate this employee took 3.5 days of leave from September to December 2000.
- Student #2 - Student's transcript indicates the student received passing grades for attending four graduate level courses during the summer and fall of 1992 and the spring and summer of 1993 (one course per semester). The student was not listed on the teacher class rolls as a participant in the courses during the fall 1992 or spring and summer of 1993. The University could not locate teacher class rolls for the summer of 1992. University records indicate that Mr. Carroll's ID was used to request a transcript for this student in November 2001. Records also indicate that during November 2001, Mr. Carroll's ID was used to record the four courses, with corresponding grades, in the system. The added graduate level courses allowed the student to seek a master's degree in education.
- Student #3 - Student's transcript indicates the student received passing grades for attending 10 undergraduate level courses during the fall 1989 and spring 1990 semesters. However, the student was not listed on the teacher class rolls as a participant in four of the five courses during the fall 1989 and three of the five courses during the spring 1990. University records indicate that during February 1996, Mr. Carroll's ID was used to enroll this student in the above semesters and to record the 10 courses, with corresponding grades, in the system. These added courses placed the student in the University's Continuing Education Program.
- Student #4 - Student's transcript indicates the student received passing grades for attending eight undergraduate level courses during the spring and fall 1988 and spring 1989 semesters. However, the student was not listed on the teacher class rolls as a participant in one course during the spring of 1988, two courses during the fall of 1988, and one course during the spring of 1989. University records indicate that during February 1996, Mr. Carroll's ID was used to enroll this student in eight courses and to record
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corresponding grades in the system. The added courses allowed the student to seek an undergraduate degree.

- Student #5 - Student's transcript indicates the student received passing grades for attending two graduate level courses during the fall 2000 semester. However, the student was not listed on the teacher class rolls as a participant in either course. University records indicate that Mr. Carroll's ID was used to request a transcript for this student in August 2000. Records also indicate that during February 2001, Mr. Carroll's ID was used to enroll this student in the fall 2000 semester and to record the two courses with corresponding grades in the system. Furthermore, the student was employed as a full-time teacher in the Caddo Parish School System in Shreveport, Louisiana, during the fall of 2000. The school system's leave records indicate the employee took seven days of leave from September to December 2000. These added courses placed the student in the University's Continuing Education Program.
- Student #6 - Student's transcript indicates the student received passing grades for attending two graduate level courses during the fall 1992 semester. The University was unable to provide teacher class rolls for these courses. University records indicate that Mr. Carroll's ID was used to request a transcript for this student in January 2002. Records also indicate that during January 2002, Mr. Carroll's ID was used to enroll this student in the fall 1992 semester and to record the two courses with corresponding grades in the system. The added courses allowed the student to seek a master's degree in education - administrative and supervision.
- Student #7 - Student's transcript indicates the student received passing grades for attending two undergraduate level courses during the summer 1990 semester. The University was unable to provide teacher class rolls for these courses. Records also indicate that during February and August 1996, Mr. Carroll's ID was used to enroll this student in the summer 1990 semester and to record the two courses with corresponding grades in the system. The student received a bachelor of science from Southern University in May 1997 and teacher certifications in July 2002, February 2003, and December 2003 from the Louisiana Department of Education.
- Student #8 - One transcript indicates the student received grades for attending nine undergraduate level courses during the summer 1988, spring 1990, fall 1991, and spring 1992 semesters. However, a second transcript was created that changed two failing grades to withdrawals and added seven new grades. The teacher class rolls for the fall 1991 semester indicate the student did not participate in five courses credited to the transcript during the semester. University records indicate that Mr. Carroll's ID was used to request a transcript for this student in May 1997. Records also indicate that during August 1997, Mr. Carroll's ID was used to (1) change the
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student's grades in the summer 1988 and spring 1990; (2) enroll the student in the fall 1991 and spring 1992 semesters; and (3) record the nine courses with corresponding grades in the system. The student received a bachelor of science from Southern University in December 1999. The student stated that he paid Mr. Carroll \$3,000 to obtain a transcript. He recalled some of the courses added to his transcript that he did not attend. These courses matched those included on his transcript during the fall 1991 semester.

- Student #9 - Student's transcript indicates the student received passing grades for attending two graduate level courses during the fall 2000 semester. However, the student was not listed on the teacher class rolls as a participant in either course. University records indicate that Mr. Carroll's ID was used to request transcripts for this student in August 2000, February 2001, and April 2002. Records also indicate that during February 2001, Mr. Carroll's ID was used to enroll this student in the fall 2000 semester and to record the two courses with corresponding grades in the system. The added courses placed the student in the University's Continuing Education Program. The student received temporary teacher certificates in December 2002 and October 2003 and a Level 2 certification in August 2004 from the Louisiana Department of Education. Furthermore, the student was employed as a full-time teacher's aid in the Caddo Parish School System in Shreveport, Louisiana, during the fall of 2000. The school system's leave records indicate this employee took three days of leave from September to December 2000. In August 2001, the school board hired this student as a full-time teacher.
- Student #10 - Student's transcript indicates the student received passing grades for attending 19 graduate level courses beginning with the fall 1991 semester and ending with the summer 2000 semester. Of the 19 courses, the University located eight teacher class rolls that indicate the student did not participate in the courses. University records indicate that Mr. Carroll's ID was used to request transcripts for this student once during 1994, five times in 1995, once in 1996, once in 1997, twice in 2000, and four times during 2002. Records also indicate that during March 1995 and May 2002, Mr. Carroll's ID was used to enroll this student in the 19 courses and to record the corresponding grades in the system. The student received a master's degree plus 30 graduate hours from Southern University in 1995 and teacher certifications in October 1995, July 1996, April 1998, and January 1999 from the Louisiana Department of Education. Furthermore, the student was employed in Lafayette and Opelousas, Louisiana, during the period of fall 1991 to summer 2000.
- Student #11 - Student's transcript indicates the student received passing grades for attending 42 undergraduate courses beginning with the summer 1993 semester and ending with the spring 1996 semester. Of the 42 courses, the
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University located 32 teacher class rolls that indicate the student did not participate in the courses. University records indicate that in March 2002, Mr. Carroll's ID was used to enroll this student in 23 of the 42 courses and to record the corresponding grades for each course. Records also indicate that Mr. Carroll's ID was used to request transcripts for this student on two occasions during March 2002. As a result, the student received a 1996 undergraduate degree in March 2002.

- Student #12 - Student's transcript indicates the student received passing grades for attending two undergraduate level courses during the spring 1998 semester and one course during the fall 1998 semester. However, the student was not listed on the teacher class rolls as a participant in either course. Records indicate that during March 2002, Mr. Carroll's ID was used to enroll this student in each of the above semesters and to record the courses with corresponding grades in the system. Records also indicate that Mr. Carroll's ID was used to request transcripts for this student on three occasions during 2002. The added courses allowed the student to seek an undergraduate degree.
- Student #13 - Student's transcript indicates the student received passing grades for attending 47 undergraduate courses beginning with the summer 1993 semester and ending with the fall 1996 semester. Of the 47 courses, the University located 38 teacher class rolls that indicate the student did not participate in the courses. Records indicate that during July 1997 and December 1999, Mr. Carroll's ID was used to record the courses with corresponding grades in the system. Records also show that Mr. Carroll's ID was used to request a transcript for this student on nine occasions during the fall of 1997, four occasions during the fall of 1999, and two occasions during the spring 2000. As a result, the student received a 1997 undergraduate degree in December 1999.
- Student #14 - Student's transcript indicates the student received passing grades for attending 15 graduate courses beginning with the fall 1990 semester and ending with the summer 1997 semester. Of the 15 courses, the University located four teacher class rolls that indicate the student did not participate in the courses. Records indicate that during September 1997, Mr. Carroll's ID was used to record the courses, with corresponding grades, in the system. Records also indicate that Mr. Carroll's ID was used to request a transcript for this student in September 1997. The student received teacher certifications in March and December of 1999 from the Louisiana Department of Education.
- Student #15 - Student's transcript indicates the student received passing grades for attending seven undergraduate level courses: three during the summer 1994 semester and four during the fall 1996 semester. Of the seven courses, the University located six teacher class rolls that indicate the
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student did not participate in the courses. In addition, the student's transcript indicates the student was enrolled and received credit for a laboratory course without taking the lecture course. The University requires a lab course to be taken in conjunction with the lecture course to obtain credit. Records indicate that during May 2000, Mr. Carroll's ID was used to record the courses with corresponding grades for the summer 1994 and fall 1996 in the system. Records also indicate that Mr. Carroll's ID was used to request a transcript for this student on five occasions during the spring 2000 semester, once during the spring 2002, and once during the spring 2003. The added courses allowed the student to seek an undergraduate degree.

- Student #16 - Student's transcript indicates the student received passing grades for attending 14 graduate level courses: two courses during the summer 1994 semester, seven courses during the fall 1994 semester, and five courses during the spring 1995 semester. Of the 14 courses, the University located 13 teacher class rolls that indicate the student did not participate in the courses. Records indicate that during September 2002, Mr. Carroll's ID was used to enroll this student in the above courses and to record the corresponding grades in the system. Records also show that Mr. Carroll's ID was used to request the student's transcript on eight occasions during September 2002. The added graduate level courses allowed the student to seek a master's degree.
- Student #17 - Student's transcript indicates the student received passing grades for attending seven undergraduate level courses: four courses during the fall 1996 semester and three during the spring 1998 semester. Of the seven courses, the University located four teacher class rolls that indicate the student did not participate in the courses. Records indicate that during November 2001, Mr. Carroll's ID was used to record the courses with corresponding grades in the system. Records also show that Mr. Carroll's ID was used to request the student's transcript on one occasion during July 1994, once in October 1996, and on two occasions in July 1997. The student's mother stated that she paid Mr. Carroll \$800 as partial payment for her child's grades. The added courses allowed the student to seek an undergraduate degree.
- Student #18 - Student's transcript indicates the student received passing grades for attending seven graduate level courses: one course during the spring 1995 semester, two during the fall 1995 semester, two during the summer 1996 semester, and two during the fall 1996 semester. Of the seven courses, the University located six teacher class rolls that indicate the student did not participate in the courses. Records indicate that Mr. Carroll's ID was used to record the courses with corresponding grades in the system in October 1997. Records also show that Mr. Carroll's ID was used to request the student's transcript in July 1996 and once in October 1997. The added
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courses allowed the student to seek a master's degree in education - administrative and supervision.

- Student #19 - Student's transcript indicates the student received passing grades for attending two graduate level courses during the fall 2000 semester. However, the student was not listed on the teacher class rolls as a participant in either course. Records indicate that during February 2001, Mr. Carroll's ID was used to record the courses with corresponding grades in the system. Records also indicate that Mr. Carroll's ID was used to request the student's transcript on three occasions in February 2001. The student received teacher certifications in January, August, and October of 2003 from the Louisiana Department of Education. Furthermore, the student was employed as a full-time teacher in the Caddo Parish School System in Shreveport, Louisiana, during the fall of 2000. The school system's leave records indicate the employee took two days of leave from September to December 2000.
- Student #20 - Student's transcript indicates the student received passing grades for attending 13 graduate level courses during the summer and fall 1999 semesters and summer and fall 2000 semesters. The University located two teacher class rolls that indicate the student did not participate in two of the courses credited during the fall 2000. The transcript also indicates the student attended the University of Louisiana at Lafayette during the summer 1999 semester. Records indicate that in October 2001, Mr. Carroll's ID was used to record the courses with corresponding grades in the system. Records further indicate that Mr. Carroll's ID was used to request the student's transcript twice in 2000, once in 2001, and on three occasions in 2002. As a result, the student received a 2000 master's degree in special education in October 2001. The student received a teacher's certification in November 2001 from the Louisiana Department of Education. The student stated she paid Mr. Carroll \$1,500 for a transcript.
- Student #21 - Student's transcript indicates the student received passing grades for attending 18 graduate level courses during the summer and fall 1999 semesters, the summer and fall 2000 semesters, and the summer and fall 2001 semesters. Of the 18 courses, the University located 15 teacher class rolls that indicate the student did not participate in the courses. Records indicate that during April, May, and July of 2002, Mr. Carroll's ID was used to record the courses with corresponding grades in the system. Records also indicate that Mr. Carroll's ID was used to request the student's transcript on eight occasions during 2002. The student was employed as a full-time teacher in the St. Landry Parish School System in Opelousas, Louisiana, during the fall of 2000 and the summer and fall of 2001. The school system's leave records indicate this employee took four days of leave during the fall 1999 semester and took no leave during the fall 2000 or 2001 semester. The student received teacher certifications in
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November 2002 and March 2004 from the Louisiana Department of Education.

- Student #22 - Student's transcript indicates the student received passing grades for attending five undergraduate level courses during the summer semesters of 1995 and 1996. However, the student was not listed on the teacher class rolls as a participant in any of the above courses. Records indicate that during May 2000, Mr. Carroll's ID was used to record the courses and corresponding grades in the system. Records also indicate that Mr. Carroll's ID was used to request the student's transcript on five occasions during 2000. The student stated he gave approximately \$3,400 and a list of grades he wanted changed to a friend. Mr. Carroll's ID was used to record these grades in the system.
- Student #23 - Student's transcript indicates the student received passing grades for attending two graduate level courses during the spring 2000 semester. The University provided teacher class rolls for both courses: the student's name was handwritten on one class roll and not listed on the other. Records indicate that during July 2000, Mr. Carroll's ID was used to enroll this student in the above courses and to record the corresponding grades in the system. Records also indicate that Mr. Carroll's ID was used to request the student's transcript on two occasions during 2000.
- Student #24 - Student's transcript indicates the student received passing grades for attending 13 graduate level courses beginning with the fall 1989 semester and ending with the fall 1993 semester. The University was unable to provide teacher class rolls for the above courses. Records indicate that during July 2000, Mr. Carroll's ID was used to enroll this student in the above courses and to record the corresponding grades in the system. Records also show that Mr. Carroll's ID was used to request the student's transcript once in 1994, once in 1996, twice in 1997, three times in 2000, and twice in 2001. The student received teacher certifications in July 2001 and July 2002 from the Louisiana Department of Education.
- Student #25 - Student's transcript indicates the student received passing grades for attending four graduate level courses during the summer and fall semesters of 1997 and the summer 2001 semester. However, the student was not listed on the teacher class rolls as a participant in either of the above courses. Records indicate that during December 2002, Mr. Carroll's ID was used to enroll this student in the above courses and to record the corresponding grades in the system. Records also indicate that Mr. Carroll's ID was used to request the student's transcript on seven occasions in 1996, six occasions in 1997, one occasion 2000, and four occasions in 2002.
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